

Article 911.1 Penalties

The Rules concerning the Event and each competition must be strictly enforced by the Ground Jury. Athletes who fail to comply with these rules may incur Disqualification or Elimination, unless some other penalty is prescribed in the relevant Article.

Article 957 Summary of Driven Dressage Penalties

Athletes are liable to the following penalties:

Description	Ref. Article	Penalties
Part of the turnout leaving the arena during a movement		Mark down for inaccuracy
The whole turnout leaving the arena		Elimination
Athlete dismounting	943.2.10 & Annex 8	20 penalties
Entering the arena without a whip	928.4	5 penalties
Dropping or putting down a whip	928.4	5 penalties
No breeching if carriage has no brakes	937.1.1	Elimination
No breeching for Singles	940.1.14	Elimination
Use of bandages or brushing boots (Horse to be inspected after Test)	940.2	10 penalties
Contravening the Rules on Advertising	941	Yellow Warning Card
Person tied to the carriage	943.2.6	Elimination
Groom handles the reins, brake or uses the whip	943.2	20 penalties
Groom speaking or giving indications	945.2	Elimination
Physical outside assistance	945.1	Elimination
Groom(s) dismounting	943.2.11 & Annex 8	1 st incident - 5 penalties 2 nd incident - 10 penalties 3 rd incident – Elimination
Early or late entry	952.1	Possible Elimination
Lame horse	952.3	Disqualification of the Horse and elimination of the Athlete
Incomplete presentation (Athlete, Groom, Carriage)	928	5 penalties
Errors of course:	956.3	1 st occasion - 5 penalties 2 nd occasion - 10 penalties 3 rd occasion - Elimination
Disobedience:	956.5	1 st incident - 5 penalties 2 nd incident - 10 penalties 3 rd incident – Elimination
Carriage overturn	956.6	Elimination

Article 937 Weights and Dimensions

3. Dressage and Cones Carriages must comply with the following

Class	Wheels	Grooms	Min. Width
Horse Four	4	2 behind	158cm
Pony Four	4	2 behind	138cm
Horse Pair	4	1 behind	148cm
Pony Pair	4	1 behind	138cm
Horse Single/Tandem	2 or 4	1 behind or beside	138cm
Pony Single/Tandem	2 or 4	1 behind or beside	138cm

4. Marathon Carriages and carriages for the Combined Marathon/Derby must comply with the following

Class	Wheels	Min. weight	Grooms	Min. width
Horse Four	4	600kg	2 behind	125cm
Pony Four	4	300kg	2 behind	125cm
Horse Pair	4	350kg	1 behind	125cm
Pony Pair	4	225kg	1 behind	125cm
Horse Single/Tandem	2 or 4	150kg	1 behind or beside	125cm
Pony Single/Tandem	2 or 4	90kg	1 behind or beside	125cm

Article 960

2. Marathon sections

Section	Maximum Distance	Minimum Distance	Pace	Speed - km/hour	
				Horse	Pony
A	8000 m	5000 m	free	15	14
Transfer	1500 m	800 m	free	6	5
B	9000 m	6000 m	free	14	13

Article 969 Summary of Penalties in Marathon

Athletes are liable to the following penalties:

Definition	Ref. Article	Penalties
Athlete or Groom wearing shorts.	928.2	10 penalties per person
No protective headgear or no back/body protector on Marathon .	928.2	Elimination followed by Disqualification
Finishing Section B with less Horses than required.	931	Disqualification
No breeching with a carriage with no brakes.	937	Elimination
No breeching for Singles	940	Elimination
Contravening the rules on advertising.	941	Warning Yellow Card
Substitution of a Groom.	943.2	Elimination
Groom handling reins, or using whip or brakes when carriage not stationary.	943.2.5	20 penalties
Person tied to the carriage	943.2.6	Elimination
Outside Assistance.	945.2.2.2	Elimination
Groom leading a Horse through an obstacle.	945.3	25 penalties
Incorrect pace	960.6.2 & 964.4	1 penalty for every 5 sec.
Dislodging a dislodgeable Element	961.5.5	2 penalties per occurrence
Preventing a dislodgeable element from being dislodged	961.5.7	10 penalties
Motorised vehicles or bicycles in obstacles.	962.3.5	First Incident - Warning Second Incident - Yellow card
Carriages under weight at end of B or under width at start of B.	937 & 966.1	Elimination
Total time over Time Allowed in all Sections	963.5.1	0.25 penalties/sec.
Total time under Minimum Time in Section A and B	963.5.2	0.25 penalties/sec.
Total time in obstacles.	968.1.1	0.25 penalties/sec.
Athlete fails to stop when recalled	963.4.3	Elimination
Not ready to Start Section A.	963.4.2	0.25 penalties/sec.
Contravening the rule on the use of the whip	964.1	20 penalties
Each deviation from Course after last obstacle.	964.3	10 penalties
Required persons not on carriage passing through a compulsory turning flag, or the start and finish of each Section, each occasion.	964.5	5 penalties
Grooms Dismounting while moving in Section B.	964.5	5 penalties
Athlete Dismounting while moving in Section B.	964.5	20 penalties
Finishing Section B with missing or disconnected Pole strap, trace or reins for each occurrence.	964.7	10 penalties
Failing to pass through compulsory turning flags and obstacles in correct sequence and direction	964.2	Elimination
Finishing Section B with missing wheel.	964.7	Elimination
Finishing Section B with broken or disconnected pole or shaft	964.7	Elimination
Failing to pass through entry flags of an Obstacle.	965.2	Elimination

Groom(s) not on the carriage when crossing start of an obstacle	965.3.7	5 penalties
Grooms not remounting on the carriage immediately outside of an obstacle (per incident)	965.3.2	5 penalties
Failing to pass through exit flags of an obstacle within 5 minutes.	965.7.3	Elimination
For correcting each error of Course in an obstacle.	965.2	20 penalties
Passing between exit flags before completing an Obstacle.	965.2	Elimination
Groom(s) dismounting in an obstacle, each occasion.	965.3	5 penalties
Athlete dismounting in an obstacle.	965.3	20 penalties
Two feet on an element of obstacle.	965.3	5 penalties
Groom climbing over horse back or down the pole in an obstacle	965.3	20 penalties
Disconnecting and leading through an Obstacle.	965.4	Elimination
Failing to stop for leg over pole, lead bar or shaft.	965.6	Elimination
Failing to stop for leg over trace.	965.6	30 penalties
At the finish of Section B missing or disconnected trace, pole strap or reins	964.7.1	10 penalties for each occurrence
At the finish of Section B a broken or disconnected pole or shaft or bit	964.7.2	Elimination
Carriages must pass the finish of Section B drawn by the number of horses required for its class and on the designated number of wheels. Broken or missing tyres are acceptable.	964.7.3	Elimination
Carriage overturn	964.7.4	Elimination
Exceeding the time limit in obstacles (5 mins)	965.7.3	Elimination
Horses unfit to continue in rest area	966.1	Elimination
Exceeding the Time Limit in all Sections	968.1.1	Elimination

Article 974 Cones Competition Summary

1. Single Obstacles and Open Multiple obstacles

Division class	Speed m/min	Cones width (cm)	Serpentine (m)	Zig-zag (m)	Wave (m)	Distance between obstacles (m)
Horse fours	240	190	10-12	11-13	10/12	15
Horse tandems	230	170	10-12	11-13	10/12	15
Horse pairs - Adv	250	170	6-8	10-12	8/10	12
Horse pairs - Open	240	170	6-8	10-12	8/10	12
Horse single - Adv	250	160	6-8	10-12	8/10	12
Horse single - Open	240	160	6-8	10-12	8/10	12
Horse single _ Inter	230	165	6-8	10-12	8/10	12
Horse single - Nov	220	165	6-8	10-12	8/10	12
Pony fours	240	165	8-10	9-11	8/10	12
Pony tandems	240	165	8-10	9-11	8/10	12
Pony pairs - Adv	250	160	6-8	9-11	8/10	12
Pony pairs - Open	240	160	6-8	9-11	8/10	12
Pony single - Adv	260	160	6-8	9-11	8/10	12
Pony single - Open	240	160	6-8	9-11	8/10	12
Pony single - Inter	230	165	6-8	9-11	8/10	12
Pony single - Nov	220	165	6-8	9-11	8/10	12

Article 975 Judging Cones Competition

9.5 The Time Allowed is calculated using the following speeds in metres per minute.

Class (Horses)	Speed m/min	Class (Ponies)	Speed m/min
Four-in-Hand	240	Four-in-Hand	240
Advanced Pair	250	Advanced Pair	250
Open Pair	240	Open Pair	240
Tandem	230	Tandem	240
Advanced Single	250	Advanced Single	260
Open Single	240	Open Single	240
Intermediate Single	230	Intermediate Single	230
Novice	220	Novice	220

9.6 Penalty for exceeding the Time Allowed: any time over the Time Allowed in 1/100 seconds, multiplied by 0.5. Penalties will be calculated to 2 decimal places.

Article 981 Summary of Penalties in Cones

Athletes are liable for the following penalties:

Description	Ref. Art.	Fault Competition	Time Competition
Athlete entering arena without hat, jacket, gloves or apron.	928	5 penalties	5 seconds
Groom entering arena without jacket, hat or gloves.	928	5 penalties	5 seconds
Driving without a whip in the competition	928.4.2	10 penalties	10 seconds
Dropping or putting down the whip.	928.4.3	10 penalties	10 seconds
No breeching with a carriage with no brakes.	937.1.1	Elimination	Elimination
No breeching in Singles	940.1.14	Elimination	Elimination
If a Groom handles the reins, brake or uses the whip before the Athlete has crossed the finish line.	943.2.5	20 penalties	20 seconds
Person tied to the carriage	943.2.6	Elimination	Elimination
Illegal outside assistance.	945.2	Elimination	Elimination
Failing to start within 45 seconds of bell ring.	975.1.2	Timing starts	Timing starts
Starting and passing through an obstacle before the bell is rung.	975.2	10 penalties and restart.	10 seconds and restart
Failing to pass through start or finish lines.	975.2	Elimination	Elimination
For knocking down one or two balls in the same single obstacle.	975.2	3 penalties	3 seconds
For knocking down an element of a Multiple obstacle.	975.2	3 penalties	3 seconds
For knocking down any part of an obstacle after it has already been driven.	975.3.3	3 penalties	3 seconds
Carriage overturn	975.2	Elimination	Elimination
If any part of an obstacle in advance of the one being driven is knocked down, the bell will be rung for the obstacle to be rebuilt.	975.3.4	3 penalties and add 10 seconds	3 seconds and add 10 seconds
Taking an obstacle out of sequence.	975.3.2	Elimination	Elimination
Failure to halt after the bell is rung a second time.	975.3.5	Elimination	Elimination
For causing an obstacle, or part of a multiple to be rebuilt.	975.4.1	3 penalties and add 10 seconds	3 seconds and add 10 seconds
Starting before the bell after an obstacle is rebuilt.	975.4.2	Elimination	Elimination
Athlete dismounting	975.6.1	20 penalties	20 seconds
Groom(s) dismounting 1 st incident	975.6	- 5 penalties	- 5 seconds
2 nd incident		- 10 penalties	- 10 seconds
3 rd incident		- Elimination	- Elimination
Groom leading a Horse through an obstacle.	975.6.3	25 penalties	25 seconds
Disobedience: 1 st incident	975.7	- 5 penalties	
2 nd incident		- 10 penalties	
3 rd incident		- Elimination	
For exceeding the Time Allowed.	975.9.6	Exceeded time multiplied by 0.5	Exceeded time multiplied by 0.5
Exceeding the Time Limit.	975.9.4	Elimination	Elimination
Grooms standing between the start and finish lines	975.2.7	5 penalties	5 seconds